

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT APPLICATION**

Applicants : Stuart Fraser, *et al.*
Application No. : 09/593,554 Confirmation No.: 7904
Filed : June 14, 2000 Group Art Unit: 3692
Title : SYSTEMS AND METHODS FOR ELECTRONIC TRADING
THAT PROVIDE INCENTIVES AND LINKED AUCTIONS
Examiner : Narayanswamy Subramanian

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

PRELIMINARY AMENDMENT

Sir:

Although no fee is believed to be due, the Commissioner is authorized to charge any deficiencies or credit any overpayments to Deposit Account No. 50-3938.

Amendments to the Claims begin on page 2 of this paper.

Remarks begin on page 16 of this paper.